

## Assignment 1: Index-List Compression

(1 P.)

- (a) Consider the  $\gamma$  coding for the gaps in the documents ids in an inverted index list. Assume that the gaps are geometrically distributed,  $P[\Delta = k] = (1 - p)^{k-1} \times p$  (strictly speaking, with truncation at some maximum possible gap, but you may disregard this aspect).

- (i) First, decode the following sample list of  $\gamma$ -encoded gaps.

1110001110101011111101101111011

- (ii) Then, compute the expected number of bits needed for encoding an index list with  $n = 1001$  document ids. To do so, use the above assumption that gaps follow a geometric distribution, with parameter  $p$ , and the fact that a gap of size  $k$  consumes  $b(k) = 1 + 2\lceil \log_2 k \rceil$  bits. Fit parameter  $p$  using the sample list of part (i).
- (b) Download from the course website the file data.txt.gz and unzip it. It contains 100000 integer numbers. Implement Rice encoding and investigate the optimal choice of  $M$  with respect to the compression ratio the encoding achieves compared to the raw representation of the numbers as unsigned int values (sizeof 4 bytes). Compare the determined optimal value of  $M$  to the mean of the data and argue if the choice of  $M$  is meaningful (or not). Further, if we know by inspecting the data that 175 is the maximum number in the data, how many bits are sufficient to represent a number and what compression ratio would be obtain compared to the original data—how does this compare to the optimal compression ratio computed by Rice before?

## Assignment 2: Query Processing

(1 P.)

- (a) Consider a top- $k$  query with  $m = 3$  terms, the user is interested in  $k = 2$  results, and (non-weighted) summation as score aggregation. The underlying three index lists have the following (document identifier, score) entries:

$L_1$	$L_2$	$L_3$
$d_1$ 0.9	$d_3$ 0.8	$d_1$ 0.7
$d_3$ 0.5	$d_4$ 0.8	$d_6$ 0.6
$d_7$ 0.4	$d_7$ 0.7	$d_4$ 0.5
$d_2$ 0.3	$d_1$ 0.3	$d_7$ 0.5
$d_4$ 0.2	$d_6$ 0.2	$d_2$ 0.3
$d_5$ 0.2	$d_5$ 0.2	$d_3$ 0.1
$d_6$ 0.1	$d_2$ 0.2	$d_5$ 0.1

Apply the TA method (with random accesses) to this setting. Document all index accessing steps and the top- $k$  after each of them. How many sorted accesses (SA) and random accesses (RA) does the method need?

- (b) Consider the following aggregation functions:
- (i)  $max$
- (ii)  $spread = max - min$ , where  $max$  and  $min$  refer to the scores of the same document from different lists.

For each of the mentioned aggregation functions, write an “optimal” algorithm to find top-k results by adapting the TA algorithm to the specifics of the aggregation functions. Apply your proposed algorithms to the data of part (a) and compare it with respect to the number of accesses to the original TA algorithm.

### Assignment 3: Aggr. Functions

(1 P.)

An  $m$ -ary aggregation function  $f : [0, 1]^m \rightarrow [0, 1]$  is said to be strict if  $f(x_1, \dots, x_m) = 1 \Leftrightarrow x_1 = 1 \wedge \dots \wedge x_m = 1$ .  $f$  is monotone if  $(x_1 \leq x'_1 \wedge \dots \wedge x_m \leq x'_m) \Rightarrow (f(x_1, \dots, x_m) \leq f(x'_1, \dots, x'_m))$ . A binary aggregation function  $f : [0, 1] \times [0, 1] \rightarrow [0, 1]$  is called a triangular norm if the following four properties hold:

1.  $f(0, 0) = 0 \wedge f(x, 1) = f(1, x) = x$
2.  $(x_1 \leq x'_1 \wedge x_2 \leq x'_2) \Rightarrow (f(x_1, x_2) \leq f(x'_1, x'_2))$
3.  $f(x_1, x_2) = f(x_2, x_1)$
4.  $f(f(x_1, x_2), x_3) = f(x_1, f(x_2, x_3))$

Which of the following aggregation functions are monotone, which ones are strict, and which correspond to the triangular norm?

- (i)  $\min$
- (ii) bounded sum:  $f(x_1, x_2) = \min(1, x_1 + x_2)$